

Ratee:	Title:		Rating Period:					
Rater:		Dept/Agency-Location:						
S	ECTION 1-	Job Expectations						
Maj	or Goals of	the Unit/Work Group						



Ratee:	Title:		Rating Period:
Rater:		Dept/Agency-Location:	
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		JOB EXPECTATIONS	
	Major Go	oals of the Ratee	
I have reviewed this package and have had a face-to-face		My signature indicates that the Ratee's	s positions have been noted
with my supervisor to discuss the Core Mission Areas, (Unit and Ratee), Major Job Responsibilities, Essential		Rater:	positions have been neces.
Performance Factors, Point Accumulation Methodolog	gy, and the		
Evaluation Conversion to the Overall Rating by which <b>This meeting was held on</b>	I will be rated.	Date:	
My signature indicates that I have been advised of these PAR e	(Date)		
		My signature indicates that the Ratee's	positions have been noted.
Ratee:		Reviewer:	
Date:			
I ☐ Agree ☐ Disagree with the elements of th	is PAR.	Date:	
	Rati	ee Comments	(Use Additional Sheets as Necessary)
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Ratee:	Title:		Rating Period:			
Rater:		Dept/Agency-Location:				
SECTION 1- JOB EXPECTATIONS (Continued)						
Major Job Responsibilities and Essential	Criteria for	Successful Accomplishment				
Job Responsibility:			(Use Additional Sheets as Necessary)			
Essential Criteria:						
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Job Responsibility:						
Essential Criteria:						
Essential Criteria:						



Ratee:	Title:		Rating Period:			
Rater:		Dept/Agency-Location:				
Section 1- Job Expectations (Continued)						
Major Job Responsibilities and Essential (	Criteria for	Successful Accomplishment				
Job Responsibility:			(Use Additional Sheets as Necessary)			
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Job Responsibility:			
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Essential Criteria:							
Job Responsibility:							
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Ratee:	Title:		Rating Period:			
Rater:		Dept/Agency-Location:				
Section 1- Job Expectations (Continued)						
Major Job Responsibilities and Essential C	Criteria for	Successful Accomplishment				
Job Responsibility:			(Use Additional Sheets as Necessary)			
Essential Criteria:						
Job Responsibility:						
Job Responsibility.						
Essential Criteria:						



Ratee:	Title:		Rating Period:				
Rater:		Dept/Agency-Location:					
Section	Section 1- Job Expectations (Continued)						
Major Job Responsibilities and Essential C	Criteria for	Successful Accomplishment					
Job Responsibility:			(Use Additional Sheets as Necessary)				
Essential Criteria:							
Job Responsibility:							
Essential Criteria:							



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#### **Section 2-Performance Factors**

#### **Job Achievement Factors**

These factors are directly related to the outputs of the job: Section 1 Major Goals, Job Responsibilities, and Essential Criteria.

Job Achievement Factors	1	2	3	Interim	Final
Goal Achievement  Overall extent to which employee accomplishes established ratee goals.	Failed to accomplish most major goals; original objectives were not entirely achieved.	Achieved or exceeded major goals.	Significantly exceeded original goals and objectives.		
Timeliness  Overall extent to which employee meets specified schedules and deadlines.	Rarely met work schedules or deadlines. Often was late in completing assignments within specified time frames.	Met and occasionally completed assignments ahead of specified deadlines.	Consistently completed assignments, projects and job responsibilities ahead of scheduled deadlines.		
Job Achievement Subtotal					

Ratee:	Title:		Rating Period:
Rater:		Dept/Agency-Location:	

#### **Section 2-Performance Factors**

#### **Job Related Factors**

These global factors support the core values of the organization and expected performance of the job.

Job Related Factors	1	2	3	Interim	Final
Communication  Effective expression of ideas, concepts or directions in individual or group situations, using supportive gestures, voice level and organization of materials. If communication is written, thoughts are expressed with appropriate grammar, organization and structure.	Informal communication was ineffective due to disorganization of thoughts, and/or inappropriate use of voice volume/tone. Formal presentations failed to inform or persuade due to lack of structure or poor organization. Didn't listen during verbal exchanges. Written communication was poorly structured, contained poor grammar, or was difficult to read due to inadequate organization. Communication flaws included: poor listening, no organization of thoughts, or inappropriate gestures.	Successfully communicated ideas, thoughts or directions. Asked appropriate questions and involved the listener. Sought clarification and affirmed understanding in verbal exchanges. Used appropriate supportive gestures, voice level and organization of materials. Formal presentations were organized and had appropriate detail. Written communication was concise and appropriate for target audience. Key points were understood by intended audience. Affirmed understanding with appropriate questions.	Excelled in the communication of ideas, thoughts or directions. Thought well, fast and appropriately on his or her own feet in formal situations. Informal presentations created word pictures, leaving no room for confusion. Apt questions uncovered lingering confusion. Presented complex or technical information in a manner easily understood by target audience. Written communication was letter perfect and clearly appropriate for target audience.		
Conscientiousness  Displays a high level of effort and commitment towards performing work; demonstrates responsible behavior.	Failed to address problems in own task area, was often off schedule; displayed little or no commitment to completing tasks; resisted learning new skills to enhance own work.	Solved problems in own task area; displayed commitment to completing tasks; learned new skills to enhance own work. Occasionally took on added responsibilities when requested.	Consistently took on extra responsibilities voluntarily to respond to shifting priorities, or schedules; displayed a high level of commitment to the organization and its customers through the quality of work while completing tasks ahead of schedule.		

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#### **Section 2-Performance Factors**

Job Related Factors	1	2	3	Interim	Final
Creative Thinking  Uses imagination to combine ideas or information in new ways.	Rarely, if ever, generated new ideas. Ideas were very limited in scope and had little impact.	Occasionally generated new ideas that had a positive impact.	Regularly generated new ideas that were highly innovative and had a very significant positive impact.		
Customer Service  Identifies and meets customer (internal and external) needs.	Diagnosed customer needs inaccurately; lacked consistency in meeting customer needs; was not attentive and inquisitive when dealing with customers; did not consistently meet time, quality and cost requirements.	Accurately assessed customer needs; provided necessary or requested service within acceptable timeframes requiring few corrections or revisions; sought customer feedback and expression of satisfaction with work product. Occasionally sought alternative solutions.	Frequently anticipated internal and external customer needs; advanced quality alternative solutions; work quality was characterized by exceptional insights and technical expertise.		



Ratee:	Title:		Rating Period:
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**Section 2-Performance Factors** 

Job Related	4	2	2	T .	T: 1
Factors	1	2	3	Interim	Final
Decisiveness  Readiness to make decisions, render judgments, and take actions based upon logical analysis of alternatives, evaluations of risks and benefits, and priority setting.	Poor approach to decision making, and/or slowness in making decisions. Had difficulty in generating and weighing alternatives. Unable to correctly identify and balance risks and benefits. Frequently poor decision making led to missed goals, objectives, or poor resource allocation. Didn't generate enough alternatives, and was often unable to balance risk and benefits.	Made sound decisions, based on available facts, with appropriate timing. Could demonstrate that alternatives were considered and could show the risk/benefits of each alternative. Decision process led to consistently appropriate actions with positive business impact. Demonstrated skill at generating alternative courses of actions and was apt at laying out the risks/benefits of each alternative, and at establishing which alternatives were best in rank order. Actions stemming from decisions resulted in real, measurable positive business improvement or objective realization.	Consistently demonstrated the ability to effectively deal with difficult and/or sensitive issues, providing comprehensive analysis and well thought through alternatives. Consistently focused on the best alternatives having the optimum risk/benefit balance. Decisions consistently led to major advances for the unit with respect to productivity, customer service, or quality of work.		



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#### **Section 2-Performance Factors**

Job Related					
Factors	1	2	3	Interim	Final
Leadership	Did not assume	Demonstrated	Assumed appropriate		
	appropriate leadership	willingness to assume	leadership roles with		
Influences individuals	roles or engage in	leadership roles.	groups and individuals		
and/or groups toward	behavior, which	Influenced others	when necessary.		
desired behaviors and	encouraged others	towards desired	Consistently adopted		
results by accepting	toward desired results.	behaviors or results.	a style commensurate		
suitable leadership	Did not take on	Style was usually	with person or		
styles, using	challenges. Failed	commensurate with	situation to ensure		
interpersonal skills	to ensure work	person/situation to	desired results or		
and influencing	complied with	achieve desired results	behaviors. Persuaded		
organizational	organization	or behaviors. Used	others to accept own		
values and systems.	standards. Could not	interpersonal skills to	point of view. Modeled		
	influence others	influence individuals	excellent standards of		
	toward desired	and/or group values.	performance to guide		
	behaviors. Style and/	Ensured completed	others toward desired		
	or techniques were	work complied with	behavior. Took on		
	not appropriate for the	organizational	challenges. Enhanced		
	situation to achieve	standards and values.	the self-esteem of		
	desired results. Did		others. Encouraged		
	not take the lead		diversity. Took the lead		
	in responding to		in setting standards of		
	customer needs.		excellence in work.		



Ratee:	Title:		Rating Period:
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**Section 2-Performance Factors** 

Job Related Factors	1	2	3	Interim	Final
Manages Human Resources  Sets performance expectations; provides feedback; develops employees; promotes cooperation and teamwork; appraises employee performance; identifies employees' needs and works with them to improve; encourages adherence to core values.	Did not clarify performance expectations for employees; did not recognize employee contributions or deficiencies; provided minimal feedback; failed to appraise employee performance in writing using the performance appraisal system. Failed to identify potential internal control problems/emerging issues and/or failed to act to address them; inhibited teamwork; planned without consulting affected parties; failed to utilize abilities of others; stifled efforts of others.	Set performance expectations; identified performance deficiencies; encouraged team effort; modified and updated staff plans as circumstances changed; assigned work to enhance employee development; recognized employee achievements; recognized capabilities and matched people with assignments. Encouraged others to achieve; provided feedback; encouraged employee participation; anticipated staff needs and developed contingency plans; prepared others for additional responsibilities; instilled confidence in others; encouraged teamwork.	Clearly correlated mission and goals of the work unit with expected and actual individual performance results; created a work environment which promoted synergy of effort and talent; empowered employees to identify and develop skills which supported the operational needs, mission and core values of the agency.		



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#### SECTION 2-PERFORMANCE FACTORS

Job Related Factors	1	2	3	Interim	Final
Managing/Valuing Diversity  Builds, maintains, and/or contributes to a work environment that is fair, equitable, inclusive and cooperative in valuing individual differences such as culture, race, ethnicity, religion, gender, sexual orientation, physical attributes, lifestyles, interests, values or other differences.	Failed to make appropriate social accommodations to ensure a fair, inclusive, and respectful working environment. Did not demonstrate respectfulness for individual differences. Created and/or encouraged a hostile environment for others.	Incorporated different perspectives into work actions; fostered a fair and inclusive environment demonstrating respect for others. Worked towards a proactive approach in soliciting and using contributions from diverse perspectives.	Championed diversity and demonstrated through specific actions its value in the organization. Created a work culture that fostered fairness, respect and cooperation. Recognized individual differences while building an environment of esprit de corps.		
Planning, Organizing and Evaluating  Determines objectives and strategies; coordinates with other parts of the organization to accomplish goals; monitors and evaluates the progress and outcomes of operational plans; anticipates potential threat or opportunities to achievement of objectives and strategies.	Failed to identify components of a plan, or to logically structure action steps and priorities. Plans were incomplete or overly complex. Resources were not properly utilized. Outcome monitoring and evaluation were not addressed.	Demonstrated full understanding of planning, organizing and evaluating. Planning approach showed appreciation for the influence of complex issues which impact the employee's area of responsibility. Plans had appropriate level of detail and proper priorities were established. Problems and opportunities were anticipated. Resource allocation was appropriate and/ or efficient and on target. Plans included monitoring and evaluation criteria and mechanisms.	Planning approach showed grasp of complex issues which impact the employee's area of responsibility. Plans contained detailed coordination processes and contingency planning.  Organization of resources was at an optimum to achieve objectives. Plans very effectively provided for an outcome evaluation process and improvement.		



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#### **Section 2-Performance Factors**

Job Related Factors	1	2	3	Interim	Final
Problem Solving  Identifies and analyzes problems; uses sound reasoning to arrive at conclusions; finds alternative solutions to complex problems; distinguishes between relevant and irrelevant information to make logical judgments.	Demonstrated a poor approach to problem resolution and was slow in resolving problems. Had difficulty in making choices and establishing alternatives. Failed to identify and/or distinguish risks and benefits, needed considerable assistance in identifying alternatives and evaluating risks and benefits.	Performed as a competent problem solver. Exhibited a logical approach to problem solving. Considered risks and benefits in weighing alternatives.	Consistently exercised a logical, thorough approach to problem solving which resulted in meaningful solutions to complex problems.		
Project Management  Organizes tasks and people in order to achieve specific project objectives.	Did not evaluate relevant factors or viable alternatives; had difficulty defining and identifying problems and finding solutions; did not establish project priorities. Missed project deadlines; did not consider impact on others; created disruption within work groups; costs were not considered in decisions or actions.	Insured that all involved had a common understanding of the objectives, priorities and development of the project; created and evaluated alternative solutions; anticipated problems; consistently met project deadlines, schedules and budget.	Achieved project objectives of substantial quality within or below budget, occasionally ahead of schedule through the managed effort of an empowered team which was focused on meeting or exceeding project requirements.		



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# Section 2-Performance Factors

Job Related					
Factors	1	2	3	Interim	Final
Self Management  Sets well-defined and realistic courses of action to accomplish tasks or objectives; monitors own progress in order to achieve goals.	Failed to establish plans to accomplish work; did not prioritize work or complete important work first; did not follow work plans. Was easily distracted or allowed less important work to interfere.	Established and adhered to goals; planned and scheduled in order to complete tasks or objectives. Prioritized work including multiple important tasks; modified and updated plans. Occasionally had contingency plans; allocated proper amount of time and personal resources to	Established goals, plans and schedules to complete tasks taking into consideration controllable and uncontrollable variables; had detailed contingency plans and coordinated multiple processes; prioritized multiple important tasks. Organization of time and personal resources was at optimum level.		
Job Related Subtotal		activities.			



Ratee:	Title:		Rating Period:
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#### SECTION 3-COMPUTATION AND CONVERSION TO OVERALL RATING

Computation					
Performance Factors Interim Evaluation Final Evaluation					
Job Achievement Factor Subtotal					
Job Related Factor Subtotal					
Grand Total Points					

Conversion to Overall Rating						
1-Unsatisfactory2-Successful3-Exceptional(14-21 Points)(22-34 Points)(35-42 Points)						
Interim Evaluation Rating Final Evaluation Rating						
Overall						



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Section 4-Justifica			.NIERIM
Justifica	tion for Interin	n Evaluation	
			Rating
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Specific I	rea(s) Identified for	Development	



Ratee:	Title:		Rating Period:
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Section 4-Justification	SHEET AND	DEVELOPMENT PLAN-INTE	RIM (Continued)
Inte	erim Dev	elopment Plan	
		o be Taken by Ratee	
Speci	ine Action t	o be taken by Natee	
I have reviewed Sections 1, 2, 3 and 4 of this package a	nd have had a	My signature indicates that the Ratee's	s positions have been noted.
face-to-face meeting with my supervisor to discuss the	Interim Rating,		
Justification, and Development Plan.		Rater:	
This meeting was held on			
Water the death of DAD	(Date)	Date:	
My signature indicates that I have been advised of these PAR e	elements.		
Ratee:		My signature indicates that the Ratee's	s positions have been noted.
Datas			
Date:		Reviewer:	
I Agree Disagree with the Interim Ratin	g.	Date:	
I ☐ Agree ☐ Disagree with the Justification. I ☐ Agree ☐ Disagree with the Development	Plan		
1 = 11gree   Bloagree with the Bevelopment		Comments	
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Ratee:	Title:		Rating Period:
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Section 5-Just	TEICATION SI	HEET AND DEVELOPMENT PLAN	n-Finai
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Jus	uncation	ioi i mai Evaluation	
			Rating
	Final De	velopment Plan	
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Ratee:	Title:		Rating Period:
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Section 5-Justification	SHEET AN	ID DEVELOPMENT PLAN-FIN	AL (Continued)
		elopment Plan	
Spec	ific Action	to be Taken by Ratee	
I have reviewed this Sections 1, 2, 3 and 5 of this package had a face-to-face meeting with my supervisor to discuss Rating, Justification and Development Plan.  This meeting was held on		My signature indicates that the Ratee's	positions have been noted.
My signature indicates that I have been advised of these PAR el	(Date)	Date:	
Ratee:		My signature indicates that the Ratee's	positions have been noted.
Date:		Reviewer:	
<ul> <li>I ☐ Agree ☐ Disagree with the Final Rating.</li> <li>I ☐ Agree ☐ Disagree with the Justification.</li> <li>I ☐ Agree ☐ Disagree with the Development I</li> </ul>	Plan.	Date:	
	Ratee	Comments	



Ratee:	Title:		Rating Period:	
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Section 6-Fact S	HEET OF SIGN	IFICANT PERFOR	RMANCE EVENTS	
Description of Significant Performance Event			Recommended Action	
. 0				
		Ratee Initials	Rater Initials	Data
				Date
Description of Significant Performance Event		Rater Comments/	Recommended Action	
		Ratee Initials	Rater Initials	Date
Description of Significant Performance Event		Rater Comments/	Recommended Action	
		Ratee Initials	Rater Initials	Date
Description of Significant Performance Event			Recommended Action	
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		Ratee Initials	Rater Initials	Date
	Ratee Cor	nments	(Use .	Additional Sheets as Necessary)