IRB #: IRB-FY15-16-122 Title: Identifying Educational Needs of Food Systems Professionals Creation Date: 2-8-2016 Status: Unsubmitted Principal Investigator: Ida PI

Personnel

Applicant Status

Please click one below.

X Faculty

Staff

Adjunct

Student

Applicant: Faculty or Staff or Adjunct Faculty

Please provide the Principal Investigator and the Primary Contact of this study/activity.

Principal Investigator

- If you are the PI, your name should have already auto-filled.
- If another faculty or staff will be PI, delete your name and find the PI's name below.

Primary Contact

- This can be the same individual as the listed PI.
- Select another individual as your primary contact if that individual will be managing the IRB submission process on your behalf.

Please note: If you cannot find a person in a people finder, please contact the IRB Office immediately.

Principal Investigator

Provide the name of the Principal Investigator of this study/activity. (For Student Submissions, include your Faculty Sponsor's name here. and list yourself below as "Primary Contact".) Name: Ida Pl Organization: Kinesiology Address: 424 Cayuse Ave , Portland, OR 90210-0004 Phone: 503-297-9043

Primary Contact

Provide the name of the Primary Contact of this study/activity. Name: Ida Pl Organization: Kinesiology Address: 424 Cayuse Ave , Portland, OR 90210-0004 Phone: 503-297-9043

Co-Principal Investigator(s)

Provide the name(s) of Co-Principal Investigator(s) of this study.

Provide the name(s) of other key Research Team Member(s) for this study. You will be able to include any non-Montclair collaborators under the next question.

Name: Irene Investigator Organization: Anatomy Address: 2525 SW 1st Ave Suite 201, Portland, OR 97201-4762 Phone: 503-297-9043

List and Roles of Research Team Members

Please list and describe the roles of each additional research team member. Please include all research team members (affiliated and non-affiliated with Montclair). Human subjects training verification (i.e. CITI) will be required *only* for those non-affiliated with Montclair. This documentation will be requested in the following question.

For example: Susan Day - Study Lead Bill Jones, External University collaborator-Recruiter and Data Collection Eddie Smith -Consenting and Data Analysis Irene Investigator- data analysis

Are all the research team members affiliated with Montclair?

X Yes

No, some research team member(s) are not affiliated with Montclair.

Note:

- Once you have completed your submission and included all required attachments, a **Complete Submission** option appears under **Routing** in the menu.
- After clicking **Complete Submission** in the study sidebar, you will be prompted to confirm or cancel. Confirming marks the submission as completed and send it to the PI for certification.
- If everything is correct, the PI should then **Certify** the submission.(A submission must be certified to move forward to review.)

For more detail on completing a submission click here.

What type of activity is this submission for?

X Research Study

Is this a multi-institutional study?

Yes

X No

Has this study been previously approved by Montclair or another IRB?

Yes, by Montclair's IRB.

Yes, by another IRB.

X No

Activities Without a Plan to Conduct Research (Case Study, Secondary Data Analysis of publicly available data-sets, or Quality Improvement project) requiring Human Subjects Research determination.

Study Dates

Please enter the anticipated study dates. These can be estimates and are not binding.

Start Date

Please provide the date for when the study will begin. 10/15/2016

End Date

Please provide the date for when the study will end. 10/27/2020

Study Sites

Please check all sites where the study will take place.

Montclair Campus sites

X Off Campus sites

Check all that apply

X Public Places - i.e. library, coffee shop, public park, etc.

List possible research sites.

Location convenient for interviewee.

Private Places - i.e. schools, institutions, clinics, etc.

Study being conducting via Skype or another telecommunications application software product.

CITI Training

Have all Montclair personnel on this study completed human subjects training through CITI?

X Yes

No

Child Abuse and Neglect Reporting Requirements [NJ Statute 9:6-8:10]

This NJ Statute requires any person having reasonable cause to believe that a child has been subjected to child abuse or acts of child abuse shall report the same immediately to the Division of Youth and Family Services by telephone or otherwise.

For specific information on reporting please visit http://www.nj.gov/dcf/reporting/how/index.html.

Will the research team comply with this statute <u>and any other relevant state statues</u> on child abuse reporting?

X Yes

No

N/A

Adverse Events

XII. Report of Injury, Adverse Events, and/or Unanticipated Problems

A. Injury or unanticipated problem involving risks to subjects or others Investigators must report to the IRB, within 3 days of its occurrence, any injury or unanticipated problem involving risks to subjects or others as a consequence of the research project. By definition, an adverse event is any injury, problem, or unfavorable occurrence experienced by human participants or others during conduct of research activities. Adverse events may or may not be caused by the research protocol. They are recognized as occurring in the same span of time with the research. An adverse event may be anticipated and thus listed in the risks section of your protocol. If it is not included in the risk section of your protocol, it would be considered unanticipated. Unanticipated Problems should have the following characteristics:

1. Must be unexpected in terms of nature, severity, or frequency.

2. Must be related or possibly related to the participation in research

3. May suggest that the research places subjects or other persons at a greater risk of harm then previously recognized Investigators should use their best judgment regarding the nature and degree of a reportable injury or unanticipated problem. In general, whether anticipated or not, anything serious enough to warrant medical or psychiatric intervention is reportable, as are verbal or written complaints of subjects in which they proclaim that participation presents substantial discomfort, risk, and/or endangerment beyond that explained to them, or as otherwise stated in the consent form.

For more information please read and review the complete <u>adverse event reporting</u> <u>requirements</u> or you may select the help button on the upper right hand corner of this box for further review.

Please click below to verify that the PI and the entire research team member will comply with these requirements.

X I verify that the PI and the entire research team will comply with these requirements.

Certificate of Confidentiality from NIH

Will the research team request a Certificate of Confidentiality from NIH? Click here for more information on CoCs.

X No

Study Aims and Rationale

Provide the background, specific aims, hypothesis and rationale of the study.

The aim of this study is to analyze different perspectives on the future of the food systems industry and to gain

insights on the educational needs of this industry.

Recruitment

Please provide a description of the processes that you will use to recruit participants.

The contact information for potential participants will be collected online, through industry directories and through snowball methods. Potential participants will be emailed, called or recruited in person by the PI or another member of the research team.

Recruitment materials

Please check all that apply.

Flyers or posters

Letters

x Email

Email attachment

email text.docx

Telephone

In-person plea

Internet or Social Media (Facebook, website ad, twitter, etc.)

SONA Posting

Other

Overall Methods and Research Plan

Please describe your overall research plan. Specifically include the following:

- Data collection methods
- Timeline

For an example, please see the help text by clicking on the question mark to the right of this box.

The interview contains four separate parts. The first three parts include questions about food systems history,

future and education. The fourth section of the interview contain questions that have to do with the personal

information of the participants.

This data will be analyzed qualitatively.

Benefits of this Study

Please check all that apply.

Direct benefits to the participants

X Benefits to your field of study

Please describe the direct benefits to your field of study

Food System professionals may benefit from this study because the research is relevant to their needs as professionals. Research results will be shared with others interested in this topic.

X Disseminate research findings

Please describe your plan to disseminate the research findings

The research results will be presented at different conferences, including regional conferences that would be accessible to professionals and other interested parties. Results will also be written up in journal articles.

Other benefits

Research Data Security and Storage

All research data (including paper and electronic) must be treated with the utmost respect and confidentiality. This means that the information you obtain during the course of the study will not be divulged to others without permission or in ways that are not consistent with the agreement(s) between the research team and the participants.

All research data must be maintained for at least three years after the project is closed out or the results published, whichever occurs last. You may be required to keep the data for a longer time if mandated by the funding agency, publishers, or changes in Montclair IRB policy.

Examples of research data are (but not limited to) notebooks, printouts, computer disks, photographs, scans, images, videotapes, audiotapes, flash memory, and electrophysiologic recordings.

Other documents that you are required to keep are IRB consent documents and documentation of assent.

Research data may only be altered or destroyed before this period with written permission from the Montclair IRB

Research Data

Describe how the research team will store the data to ensure and maintain privacy, security, and confidentiality during and after completion of the proposed project.

All research data will be stored on the research team's password protected computers which only the research team will have access to. Consent forms will be filed in a locked cabinet in the PI's office. Any other handwritten notes taken during the interviews will be stored and locked in a different file cabinet. Only the PI and the research team will have access to these files. Materials will be kept for three years after study completion, and then may be destroyed.

Research Data Policy

Will the research team process the data in accordance with the policies of Montclair? <u>More information on Data Security in Research</u>

X Yes

Retention of Study Data

According to Montclair's IRB policies, what is the amount of time you must safely keep all research data, prior to destroying?

X Minimum of 3 years

You are correct! Unless given special permission by the IRB due to risks of a research study, you must keep all documents for a minimum of 3 years after study closure.

Maximum of 2 years

Not more than 5 years and not less than 2 years

Data and Identifiable Information

Will the research team collect information about the participants that could be linked to them?

X Yes

Please select all that apply

	Address
Х	Age
	Audio recording
	Blog or social media entries
	CWID
	Date of Birth
	Driver's License Number
Х	Email Address
Х	Ethnicity
Х	Gender
Х	Income
	Job Title
Х	Name
	Picture
Х	Signed Consent and/or assent
	Social Security Number
	Standardized Test Scores
Х	Telephone number
	Text message content
	Video recording

Other

Linking Data

Will the research team use a linking code with the data?

X Yes

Please explain your system for using linking codes. Also describe how you will maintain confidentiality of the codes.

Each participant will be linked with a code. The document with participant information will be stored in a password-protected file on the PI's password protected computer. Only the PI has access to this computer.

No

Sharing Data

Will the research team share identifiers or linking codes with anyone outside the research team?

Yes

X No

Pre-existing Data

Will the research team acquire pre-existing data for this study?

Future Use of Data

Does the PI or the research team want to use the data obtained in this study in future studies.

X Yes

Please include this permission on your consent document(s). If you plan to use the participant contact information for recruitment in future studies you must request permission from the participant within the consent document(s).

Please explain how and where the data will be kept for future use. If you do not receive permission for future use from a participant please explain how data will be separated.

For the participants who did not agree to have their data used in future studies, or if the PI and/or the research team did not get permission to use their data for future studies, the data associated with these participants will be stored in separate files on the PI's password-protected computer or in a locked file cabinet. This data will be stored securely for the mandatory 3 years after the completion of the original study. After that, it will be destroyed.

No

Family Education Rights and Privacy (FERPA)

Will the PI and/or the research team comply with the privacy measures of FERPA?

FERPA applies to research involving a student's school record(s).

Protection of Pupil Rights Amendment

Will the PI and/or the research team comply with the privacy measures of PPRA?

(The <u>PPRA</u> applies to the programs and activities of a State educational agency (SEA), local educational agency (LEA), or other recipient of funds under any program funded by the U.S. Department of Education.)

Yes

X Not Applicable

Health Information and Privacy Accountability Act (HIPAA)

Will the PI and/or the research team comply with the privacy measures of HIPAA?

HIPAA only applies to research involving active medical or health information. This does not include self-reported health information.

Yes

X Not applicable

Screening Tools

Are you using any screening instruments to select your participants?

Yes

X No

Informed Consent

Will you obtain informed consent?

X Yes

Check all that apply.

X Adults

Children

No

Adult Consent

Check any and all that apply.

X Adult Consent Form

Readability for consent documents must follow:

- General public 6th to 8th grade reading ability
- College Students 10th to 12 grade reading ability
- Professionals -12+ reading ability

Adult Consent Form (s)

Please attach your adult consent form(s) <u>consent form.docx</u>

Online Consent

Requesting waiver or alteration of standard informed consent procedures

Consent form non-English speaking participants

Debriefing form (for use in deception studies only)

Cognitively impaired or differently abled population consent

Participant Interaction

Please select all that apply.

Online surveys/questionnaires

In-person surveys/questionnaires

X Interviews

Please describe number of interviews, length of time and interview location.

The box below will expand to hold a list of interviews if necessary, for example: *Student Interview - 20 -30 minutes - Smith Hall*

Adult Interview - 25 - 35 minutes - local Library or place as chosen by participant Teacher Interview - 40 - 60 minutes - Smith School Cafeteria, after school hours Education Needs Interview- 30 minutes, location convenient to interviewee

Please attach any interview scripts and interview questions.

interview.docx

Focus Groups

Photos, audio and or video recording

Other

Examples: blood draws, MRIs, EEGs, audiology testing, medical devices, genetic testing or physical manipulation.

Will you offer compensation to your participants?

Compensation may include gifts, gift cards, raffles, money, or providing other similar incentives.

Yes

X No

Will there be any financial cost to the participant enrolled in this study?

Costs might include travel to the study, parking, or other expenses that would not be incurred otherwise.

Yes

X No

Does your research involve any of the following. Please check all that apply.

Induction of mental or emotional distress

Induction of physical stress

Materials/issues commonly regarded as socially unacceptable

Information regarding sexual attitudes, preferences, or practices

Information regarding the use of alcohol, drugs, or other addictive products

Information pertaining to illegal conduct

Information in a student's educational record [this does not include self reported grades or student status]

Information pertaining to a person's psychological health or well-being

Information recorded in a patient's medical record

Procedures that may be regarded as an invasion of privacy

Information that if released, could reasonably damage an individual's financial standing, employability, or reputation within the community

Administration of drugs

Other risks to participants

Study Population

Please describe the characteristics of your participant population(s).

My participant group will include professionals working in any area of the food systems sector, including the food industry, academia, agriculture, state government, and the non-profit sector.

Vulnerable Populations

Please check the population(s) that will be recruited and targeted for this study. Check all that apply.

Under the age of 18 years

Pregnant

Human fetuses/newborns

Cognitively disabled or impaired

Diminished capacity to give informed consent

Veterans

Elderly or aged

Terminally ill

Undocumented persons

Students currently enrolled in classes offered by any research team members

SONA - Montclair's Student Participant Pool

Persons who are under the authority of the research team *For example: employees, staff, patients, clients.*

Persons who are institutionalized For example, prisoners, persons in hospices, persons in hospitals, nursing homes, rehabilitation centers, homeless shelters, holding centers for immigrants.

X None of the above applies to this study

Ages

Please check the age range of subjects that will be enrolled in this study. Check all that apply.

Birth to five years old

6 to 17 years old

X 18 years and older

Participant Enrollment

Projected total enrollment

1. Please enter the total number of subjects to be enrolled over the complete course of the study, at all study sites.

50 males, 50 females

Will participants be screened to include or exclude based on:

Gender

Ethnicity/Race

X Not applicable

Is this study funded or are you seeking funding?

Yes

X No

Additional Notes

If you have any additional notes for the IRB Reviewer, that may be crucial to the review and were not covered in the application, please feel free to add them below.

Do you or any investigator(s) participating in this study have a financial interest related to this research project?

Yes

X No

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- If everything is correct, the PI should then **Certify** the submission. (A submission must be certified to move forward to review.)

For more detail on completing a submission click here.

Recruitment Material(s)

Attach any Recruitment Material(s) email text.docx

Screening Tool(s)

Attach all copies of screening tool(s).

Adult Consent(s) Form

Attach the Informed Consent Form for Adults or if applicable Parent/Guardian form(s). consent form.docx

Assent Form(s)

Attach the Assent Form or Scripts for Children

Debriefing Form(s)

Attach any debriefing Forms

Attach all copies of surveys, questionnaires, or interviews. interview.docx

Site Approval(s)

Attach any Site Approval(s)

Data Use Agreement(s)

Attach any Data Use Agreements

Translated Material(s)

Attach any translated recruitment, consent or instrument(s).

Grant Proposal

Please attach the sponsor notification that states the intention to award the study.

Please make sure all of the documents below have been uploaded.

Study Protocol

Attach the protocol for this study that was reviewed by the outside IRB.

Outside IRB Approval

Attach the IRB Approval from the outside IRB.