

NSF Implementation of the Research Performance Progress Report

Research.gov Session

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Today's Agenda



- ★ Research.gov Introduction and Background
- ★ Research Performance Progress Report Overview
- ★ NSF Implementation of the RPPR
 - Components
- ★ Key Differences
- ★ Implementation Dates
- ★ NSF Implementation and Pilot
- ★ Discover More



**RESEARCH.GOV INTRODUCTION
AND BACKGROUND**

Research.gov is led by the National Science Foundation



★ NSF is:

- The funding source for approximately 20 percent of all federally supported basic research conducted by America's colleges and universities
- Tasked with keeping the United States at the leading edge of discovery in areas from astronomy to geology to zoology



Research.gov provides easy access to research-related information and services



Researchers can do business with NSF through a suite of services



Government-wide grantees

- Find grant opportunities and submit proposals
- Apply for grants



NSF Grantees

- Find grant opportunities and submit proposals



Research Grantees

- Support the research community with a modern technology platform
- Submit financial and project reports

Research.gov is the modernization of FastLane, providing the next generation of grants management capabilities for the research community

Research.gov offers grants management services...



- ★ Access Services
 - InCommon
 - Single Sign-on with FastLane
- ★ Reporting Services
 - Project Outcomes Report
 - Annual, Final, and Interim Reports (Coming Soon)
- ★ Financial Services
 - The Federal Financial Report
 - Financial Services
 - Award Cash Management Service (Coming Soon)
- ★ Application Services
 - Grants Application Status
 - Application Submission Web Services

A screenshot of the Research.gov website homepage. The page features a dark blue header with the Research.gov logo and a search bar. Below the header is a navigation menu with links for Home, Contact Us, Site Map, and Help. The main content area is divided into several sections: "MY DESKTOP" with links to Research.gov Services & Tools, Research Spending & Results, and Policy Library; "Who We Are" with links to Service Offerings, News, and SEE Innovation; "APPLY FOR GRANTS" with links to Grants.gov, NSF FastLane, and NASA Research; "FEEDBACK" with a link to Tell Us What You Think; "Our Services" with links to Research Spending & Results, Science, Engineering, and Education Innovation, Policy Library, and Grant Application Status; and "My Links" with links to Federal Links, Research Community Links, and RECOVERY.gov. A large banner at the top right of the main content area reads "New! Discover the Outcomes of NSF's Science, Engineering & Education Innovation" with a link to "Click here to SEE Innovation >>".

...and public facing services



- ★ Research Spending & Results
- ★ Policy Library
- ★ SEE Innovation
- ★ Research Headlines & Events

A screenshot of the Research.gov website. The header includes the "Research.gov" logo, a search bar, and navigation links like "Home", "Contact Us", "Site Map", and "Help". The date "October 12, 2011" is displayed in the top right. A left sidebar contains a "LOGIN AS" section with a dropdown menu set to "NSF Visitor" and a "Log in" button, along with links for "How Do I Log in?" and "Register". Below this are menu items for "Who We Are", "Service Offerings", "News", "SEE Innovation" (with sub-items for Research Areas, Locations, and Research Assets), "APPLY FOR GRANTS" (with links to Grants.gov, NSF Fast Lane, and NASA InSpire), and "FEEDBACK" (with a "Tell Us What You Think" link). The main content area features a featured article titled "Understanding Quasars" with a "Learn More" link and a space-themed image. Below this is a section for "Science, Engineering & Education Innovation" with an NSF logo and a brief description of NSF-funded projects. Further down, there are sections for "Research Areas" (with a "View All 17" link and a thumbnail for "Astronomy & Space") and "Locations" (with a map of the United States and a "Browse by Map" button). A list of state names is provided at the bottom of the Locations section.



RESEARCH PERFORMANCE PROGRESS REPORT

- ★ The Research Performance Progress Report (RPPR) is the result of a government-wide effort to create greater consistency in the administration of federal research awards by streamlining and standardizing reporting formats
 - The RPPR is the product of Research Business Models (RBM) Subcommittee of the Committee on Science (CoS), a committee of the National Science and Technology Council (NSTC)
 - Upon implementation, the RPPR will be used by federal agencies that support research and research-related activities.
 - NSF has led research agencies in the development of an RPPR data dictionary based upon the OMB RPPR approved policy

RPPR Website

<http://www.nsf.gov/bfa/dias/policy/rppr/index.jsp>



Research Performance Progress Report (RPPR)

The RPPR resulted from an initiative of the Research Business Models (RBM) Subcommittee of the Committee on Science (CoS), a committee of the National Science and Technology Council (NSTC). One of the RBM Subcommittee's priority areas is to create greater consistency in the administration of Federal research awards. Given the increasing complexity of interdisciplinary and interagency research, it is important for Federal agencies to manage awards in a similar fashion. Upon implementation, the RPPR will be used by agencies that support research and research-related activities for use in submission of interim progress reports. It is intended to replace other interim performance reporting formats currently in use by agencies. The RPPR does not change the performance reporting requirements specified in 2 CFR part 215 (OMB Circular A-110) and the Common Rule implementing OMB Circular A-102.

Each category in the RPPR is a separate reporting component. Agencies will direct recipients to report on the one mandatory component ("Accomplishments"), and also may direct them to report on optional components, as appropriate. Within a particular component, agencies may direct recipients to complete only specific questions, as not all questions within a given component may be relevant to all agencies. Agencies may develop an agency- or program-specific component, if necessary, to meet programmatic requirements, although agencies should minimize the degree to which they supplement the standard components. Such agency- or program specific requirements will require review and clearance by OMB. Agencies also may use other OMB approved reporting formats, such as the Performance Progress Report (PPR), if those formats are better suited to the agency's reporting requirements, for example, for research centers/institutes, clinical trials, or fellowship/training awards or in connection to reporting on program performance.

[OSTP/OMB Policy Letter](#)

Agency RPPR Implementation Plans

[DHHS/NIH \(and Other PHS Agencies\)](#)

[January 2012 update](#)

[DHS](#)

[DOC](#)

[DOD](#)

[DOE](#)

[DoEd/Institute of Education Sciences](#)

[DOJ](#)

[Office of Justice Programs](#)

[National Institute of Justice/Office of Justice Programs](#)

[EPA](#)

- ★ NSF will offer a new project reporting service on Research.gov which implements the RPPR format, replacing NSF's annual, final, and interim project reporting capabilities in the FastLane System
 - One of the key drivers in development of the project reporting service is to improve the user experience
 - Another key driver is to incorporate more structured collection of the project reports data for enhanced NSF use
 - NSF has led research agencies in the development of an RPPR data dictionary based upon the OMB RPPR approved policy

★ Mandatory Category:

- Accomplishments: What was done? What was learned?

★ Optional Categories:

- Products: What has the project produced?
- Participants & Other Collaborating Organizations: Who has been involved?
- Impact: What is the impact of the project? How has it contributed?
- Changes/Problems
- Special Reporting Requirements (where applicable)
- Appendix 1: Demographic Information for Significant Contributors

Key Differences of the New Project Report System



- ★ Project reporting dashboard
- ★ Structured collection of data
- ★ Rich text editor
- ★ PDF upload to support images, charts, and other complex graphics
- ★ Improved citation search through Thomson Web of Science
- ★ Special reporting requirements are controlled by solicitation
- ★ PI no longer provides demographic information on significant participants

- ★ Phase I Pilot – Begins October 22
 - ★ Six organizations
 - ★ FastLane freeze 10/1-10/21
- ★ Phase 2 Pilot - Begins in December 3
 - ★ Additional 20 organizations
 - ★ FastLane freeze 11/12-12/2
- ★ Final Target Launch Date: January 2013
 - ★ All NSF awards and organizations
 - ★ NSF-wide FastLane freeze

Detailed Timeline and Activities



October 2012	November 2012	December 2012	January 2013
Pilot Phase 1	Pilot Phase 2	Full FastLane FREEZE	Full Operations
<i>6 Pilot Institutions</i>	<i>Expand to 20 additional Pilot institutions</i>	<i>Institute NSF-wide freeze on new project reporting in FastLane</i>	<i>All institutions migrated to Research.gov</i>
<ul style="list-style-type: none"> • Communication with affected PIs and Institutions • FastLane freeze for 6 pilot institutions • POs approve all pending FastLane project reports for 6 pilot institutions • Overdue dates will be extended to 3/15 for all reports due between 9/15-12/3 	<ul style="list-style-type: none"> • Communication with affected PIs and Institutions • FastLane freeze for 20 additional pilot institutions • POs approve pending FastLane project reports for 20 pilot institutions • For reports due 12/4-1/21, due date extended to 1/21 and overdue date extended to 4/30 if current overdue date is before or equal to 4/30, otherwise overdue date will be extended to 30 days after current due date 	<ul style="list-style-type: none"> • Communication with all NSF PIs and Institutions • POs approve all pending FastLane project reports • FastLane freeze for all institutions that are not involved in the pilot 	<ul style="list-style-type: none"> • Turn off FastLane and migrate all users to Research.gov • Resume regular project report review and approval activities

- ★ During the pilot phase (from Research.gov):
 - PIs in the pilot will use Research.gov to view reporting requirements and create/submit all project reports
 - PIs not in the pilot will be directed to FastLane
 - All SPOs will be able to search for and view reports through FastLane

- ★ During the pilot phase (from FastLane):
 - PIs in pilot will be directed to Research.gov to view reporting requirements and create/submit all project reports
 - PIs not in the pilot will be able to submit project reports through FastLane PRS
 - SPOs will be able to search for and view reports through FastLane

★ **What does this mean for PIs in the pilot?**

- PIs in Phase I should stop submitting project reports in FastLane by October 1 and then use Research.gov to prepare and submit starting October 22
- PIs in Phase 2 should stop submitting project reports in FastLane by November 12, and then use Research.gov to prepare and submit starting December 3
- Many report due/overdue dates will be adjusted
- ***Note: PIs and SPOs in Phase I have already received communications regarding the actions needed***

- ★ Full rollout to all NSF awardee organizations is targeted for January 2013
- ★ The same rollout mechanism will be used
 - Suspend FastLane submissions for a period of time
 - Begin Research.gov submissions
 - Adjust due/overdue dates

Project Reports Access: PI View

MY DESKTOP

- Research.gov Services & Tools
 - Grant Application Status
 - Research Spending & Results
 - Policy Library
 - Project Reports**
- NSF FastLane Services
 - Proposals, Awards & Status
 - Grantee Cash Management Section
 - Contacts
 - Send a refund to NSF via Pay.gov
 - Lookup NSF ID

> What is Research.gov?
 > Service Offerings
 > News
 > SEE Innovation

Research.gov Webinar Series: Project Reports are Moving
 Click here to learn more >>

My Desktop

- Project Reporting Dashboard
 - * [Annual, Final and Interim Report](#)
View, complete and submit reporting requirements
 - * [Project Outcomes Report](#) : 1 Total
Due (0) | Overdue (0) | Submitted (1) | Not Yet Due (0)

★ Login with FastLane User ID and password

★ Access Project Report Dashboard or navigation

Annual, Final, and Interim Project Reports: PI View



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- Return to Research.gov Home | Contact Us | Project Reports Help
Welcome [user] August 23, 2012

[Print Page](#) Adjust Font Size:

Project Reports

[What is the difference between an Annual, Final, Interim and Project Outcomes Report?](#)

Reports Due Reports Due < 12 Months All Awards

Show per page

PAGE: 1 of 1

Award Number	Award Title	Report Type	Status	Days Until Overdue	Report Overdue Date	Action
0630294	NEESR-SG: Smart and Resilient Steel Walls for Reducing Earthquake Impacts	Annual	Returned	OVERDUE	10/01/2011	Create/Edit
0723064	MRI: Acquisition of Equipment to Simulate Collapse of Engineered Systems under E...	Final	Due	OVERDUE	05/01/2012	Create/Edit
0421577	NEESR-SG: Seismic Behavior, Analysis and Design of Complex Wall Systems	Final	Due	OVERDUE	06/30/2012	Create/Edit
1000440	Collaborative Research: Machine Vision Enhanced Post Earthquake Inspection and ...	Annual	Due	OVERDUE	07/27/2012	Create/Edit

PAGE: 1 of 1

Export options: [CSV](#) | [Excel](#) | [XML](#)

Show per page

Project Report Entry: PI View



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Welcome Laura N. Lowes | August 23, 2012

[Print Page](#) [Adjust Font Size: A A A](#)

Award 0723064 - Final Project Report

[All Reports for this Award](#)

[Show Report Summary](#)

Available Actions:

[Preview Report](#)

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[View Help](#)

Report Content

[Cover](#)

[Accomplishments](#)

[Products](#)

[Participants](#)

Impact

[Changes/
Problems](#)

[Special
Requirements](#)

[Save / Previous Section](#)

Impact - What is the impact of the

INSTRUCTIONS - This component will period.

For NSF purposes, include, where appropriate, scientists, or new disciplines.

If there is nothing significant to report

* What is the impact on the development of the principal discipline(s) of the project?

Describe how findings, results, techniques that were developed or extended, or other products from the project made an impact or are likely to make an impact on the base of knowledge, theory, and research and/or pedagogical methods in the principal disciplinary field(s) of the project.

Nothing to report



Characters Remaining: 8000

Project Report: PI View

Search Products/Journals



Cover Accomplishments **Products** Participants Imp

Products - What has the project produced?
[< Back to Publication Entries](#)

Search Publications:
Enter search criteria to search Thomson Reuters Web of Science (R) (required 'Author' field) will help to speed up your search.

* Required fields

Type: Journal

* Author(s):

Title:

Journal:

Conference:

Time Span:

[< Back to Publication Entries](#)

- ★ Products:
 - Publications
 - Technologies or techniques
 - Inventions, patent applications, and/or licenses
 - Websites
 - Other Products
- ★ Add a publication citation from Thomson Web of Science

Project Report: PI View

Upload PDF File



Supporting Files

You may upload pdf files with images, tables, charts, or other graphics
MB each.

Please select a file.

Description (required if uploading a file)

[Back to the top](#)

- ★ PDF files can be uploaded for:
 - Accomplishments
 - Products
 - Special Requirements

Project Report: SPO View

Search Project Reports



Reports Due | Reports Due < 12 Months | **Report Search** | All Awards

Search for an Award's Project Report (s):

Search by any of the following criteria:

Organization Name:

Award Number:

Award Title:

Award Status: Active Closed

PI/Co-PI Last Name:

Managing Division Code: The awarding division codes should be captured in the award letter.

Report Type: Required Interim (IPR) OR Annual (APR) Final (FPR) Project Outcomes (POR)

Search Type: All project reports Due Under Review Approved

Privacy Policy | FOIA | No Fear Act Data | USA.gov | NSF.gov

Led by The National Science Foundation, 4201 Wilson Boulevard, Arlington, Virginia 22230, USA

- ★ SPOs can search for a list of reports by:
 - Organization Name
 - Award Number
 - Award Title
 - Award Status
 - PI/co-PI Last Name
 - Managing Division
 - Report Type
 - Search Type



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- For directions, email webinars@research.gov
 - **November 16:** How Can Research.gov Help Me?
 - **January 16:** Project Reports on Research.gov: What's In It for Me?
 - **January 24:** ACMS: A New Approach to Award Payments

★ Research.gov Website: [Project Report Info Page](#)

★ Research.gov Help Desk

- Rgov@nsf.gov or 1-800-381-1532

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Provide feedback on Research.gov

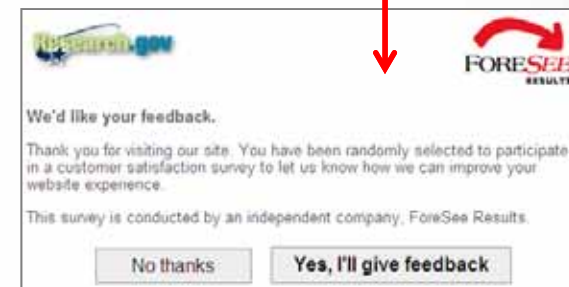


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 - Take our ForeSee pop-up survey
 - Complete online feedback form
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Email us at feedback@research.gov



***Complete the
online feedback
form***

***Take the pop-up
survey***





- Appalachian State University
- College of Charleston
- Cornell University
- Hampton University
- Indiana University
- Refactored Materials

Appendix: Pilot Phase 2 Organizations



- Arizona State University
- Illinois Institute of Technology
- Ohio State University
- University of Georgia Research Foundation Inc
- Syracuse University
- University of California-Irvine
- University of California-Los Angeles
- Georgia Southern University
- Georgia Southern University Research Foundation
- Northern Illinois University
- University of Illinois at Chicago
- University of Maine Machias
- University of Illinois at Urbana-Champaign